

Customer No.	026415	
Attorney's Docket No.:	SANKY P-279 / 500615.20251	
U.S. Application No.:	10/516,767	
International Application No.:	PCT/JP03/06734	
International Filing Date:	MAY 29, 2003	29 MAY 2003
Priority Date Claimed:	JUNE 03, 2002	03 JUNE 2002
Title of Invention:	<b>CLEAN ASSEMBLING MODULE DEVICE, PRODUCTION SYSTEM CONSTRUCTED BY USING IT, INDUSTRIAL ROBOT, AND CONTAMINATION PROPAGATION PREVENTING SYSTEM</b>	
Applicant(s) for (DO/EO/US):	Haruhiro TSUNETA, Kazuhide KOIKE, Yoshiki SHIMURA, Shiro SATO and Kazuyoshi YASUKAWA	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- [ ] 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- [X] 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- [ ] 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and
- [ ] 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- [ ] 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
  - a) \_\_ is transmitted herewith (required only if not transmitted by the International Bureau)
  - b) \_\_ has been transmitted by the International Bureau
  - c) \_\_ is not required, as the application was filed in the United States Receiving Office (RO/US)
- [ ] 6. A translation of the International (Japanese) Application into English [35 U.S.C. 371(c)(2)]
- [ ] 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
  - a) \_\_ are transmitted herewith (required only if not transmitted by the International Bureau)
  - b) \_\_ have been transmitted by the International Bureau
  - c) \_\_ have not been made; however, the time limit for making such amendments has **NOT** expired.
  - d) \_\_ have not been made and will not be made
- [ ] 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
- [X] 9. An EXECUTED Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]
- [ ] 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

**Items 11. to 16. Below concern other document(s) or information included:**

- [ ] 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
- [ ] 12. An Assignment document for recording. A separate cover sheet (PTO-1595) in compliance with 37 CFR 3.28 and 3.31 is included.
- [X] 13. x A **FIRST** preliminary amendment  
— A **SECOND** or **SUBSEQUENT** preliminary amendment
- [ ] 14. **A substitute specification with abstract and a Marked-up/Bolded Versions of the same.**
- [ ] 15. A change of power of attorney and/or address letter
- [X] 16. (other items or information) **371 Formalities letter dated July 5, 2005**

EXPRESS MAIL No.: **EV 302 917 116 US**

Deposited: **September 2, 2005**

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Ruth Montalvo Date: September 2, 2005

CALCULATIONS PTO USE ONLY

17. The following fees are submitted:

**BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]**

<input type="checkbox"/> Search Report has been prepared by the EPO or JPO	\$ 950.00		
<input type="checkbox"/> International preliminary examination fee paid to USPTO [37 CFR 1.482]	\$ 750.00		
<input type="checkbox"/> No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)]	\$ 790.00		
<input type="checkbox"/> Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO	\$ 1,110.00		
<input type="checkbox"/> International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4)	\$ 100.00		

**ENTER APPROPRIATE BASIC FEE AMOUNT:**

Claims	Number Filed		Number Extra	Rate		
Total Claims	26	-20	6	x \$ 18. =		
Indep. Claims	3	-03		x \$ 88. =		
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)						+ \$ 300. =

**TOTAL OF ABOVE CALCULATIONS:**

Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 CFR 1.492(e)]	\$130.00	
<b>TOTAL OF ABOVE CALCULATIONS:</b>	<b>\$130.00</b>	

Applicant claims Small Entity Status [See 37 CFR 1.27] Reduction by ½ for filing by small entity		
<b>SUBTOTAL:</b>	<b>\$130.00</b>	

Processing fee of \$130.00 for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 CFR 1.492(f)]		
<b>TOTAL NATIONAL FEE:</b>	<b>\$130.00</b>	

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property+		
<b>TOTAL FEE(S):</b>	<b>\$130.00</b>	

03/12/2005 <del>MAYAPASH 00000117 10516757</del>	<b>AMOUNTS TO BE REFUNDED OR CHARGED</b>	<b>REFUNDED CHARGED</b>	<b>\$</b>
01 FC:1617 130.00 0P			

<input checked="" type="checkbox"/> Check in the amount of \$ 130.00 to cover the above fees is enclosed. (The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.)	
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<b>NOTE:</b> Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.	
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**SEND ALL CORRESPONDENCE TO:**

**Gerald H. Kiel, Esq.**

Reed Smith LLP  
 599 Lexington Avenue  
 New York, NY 10022-7650

(Customer No. 026418)

**Gerald H. Kiel**

Name

(Tel. (212) 521-5400)

Signature

25,116

Reg. No.

September 2, 2005

Date

Rec'd PCT/PTO 12 SEP 2005



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/516,767	Haruhiro Tsuneta	SANKY P-279/500615.20251
INTERNATIONAL APPLICATION NO.		
PCT/JP03/06734		
I.A. FILING DATE	PRIORITY DATE	
05/29/2003	06/03/2002	

026418  
 REED SMITH, LLP  
 ATTN: PATENT RECORDS DEPARTMENT  
 599 LEXINGTON AVENUE, 29TH FLOOR  
 NEW YORK, NY 10022-7650

CONFIRMATION NO. 6653  
 371 FORMALITIES LETTER



\*OC000000016445021\*

Date Mailed: 07/05/2005

**DOCKET**  
September 5, 2005  
February 5, 2006 Deadline  
 Declaration

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/03/2004
- English Translation of the IA filed on 12/03/2004
- Copy of the International Search Report filed on 12/03/2004
- U.S. Basic National Fees filed on 12/03/2004
- Substitute Specification filed on 12/03/2004
- Priority Documents filed on 12/03/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- \$130 Late oath or declaration Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN ~~TWO (2)~~ MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/516,767	PCT/JP03/06734	SANKY P-279/500615.20251

FORM PCT/DO/EO/905 (371 Formalities Notice)